## (I)(a) Statement showing Shareholding Pattern

Name of the Company : NCL RES	EARCH & FINANCIAL	SERVICES LIMITED	
Name of the scrip : NCL RESEARC	CH		
Scrip Code : 53	30557	Class of	Security : Equity Shares
Period ended: 30th June 2012			
Partly pair-up shares:-	No. of partly paid-up	As a % of total no. of	As a % of total no. of shares of the
	shares	partly paid-up shares	Company
Held by promoter/promoers group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Outstanding convertible securities:-	No. of outstanding	As a % of total No. of	As a % of total no. of shares of the
	securities	outstanding convertible	company, assuming full conversion of the
		securities	convertible securities
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Warrants:-	No. of warrants	As a % of total no. of	As a % of total no. of shares of the
		warrants	company, assuming full conversion of
			warrants
Held by promoter/ promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Total paid-up capital of the			
company assuming full conversion			
of warrants and convertible			
securities			4,600,000

## (I)(a) <u>Statement showing Shareholding Pattern under Clause 35 of the Listing Agreement</u>

Name of the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED				
Scrip Code : 530557	Quarter ended:	30th June 2012			

Period	Category of shareholder	Number of	Total number of	Number of	Total share	cholding as a	Shares Pledged or	
ended:		shareholders		shares held in		total number of		encumbered
	1	shareneraers	Shares	dematerialized	As a	As a percentage	Number of	As a
					percentage of	of (A+B+C)	Shares	Percentage
				form		of (A+b+C)	Snares	rercentage
					(A+B)			
(A)	*Shareholding of Promoter and Promoter						(VIII)	(IX) = (VIII)
	Group							/(VI)*100
-1	Indian							
(a)	Individuals/ Hindu Undivided Family	5	247,600	247,600	5.38%	5.38%		
			•	•				
(b)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%	-	-
(c)	Bodies Corporate	2	31,430	31,430	0.68%	0.68%	-	-
(d)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%	-	-
(e)	Any Other	-	-	-	0.00%	0.00%	-	-
	(specify)				0.00%	0.00%	-	-
	Sub-Total (A)(1)	7	279,030	279,030	6.07%	6.07%	-	-
-2	Foreign				0.00%	0.00%	-	-
(a)	Individuals (Non-Resident Individuals/	-	-	-	0.00%	0.00%		
(-)	Foreign Individuals)				2.0070	2.0070		
(b)	Bodies Corporate	-	-	_	0.00%	0.00%	_	-
(0) (c)	Institutions	-		-	0.00%	0.00%		
(d)	Qualified Foreign Investor	-	-	-	0.00%	0.00%	-	-
	· · · · · · · · · · · · · · · · · · ·	-	-	-			-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (A)(2)		-	-	0.00%	0.00%	-	-
	*Total Shareholding of Promoter and	7	279,030	279,030	6.07%	6.07%		
	Promoter Group $(A) = (A)(1) + (A)(2)$						-	-
(B)	Public shareholding				0.00%	0.00%	N.A.	N.A.
-1	Institutions				0.00%	0.00%	N.A.	N.A.
(a)	Mutual Funds/ UTI	-	-	-	0.00%	0.00%		
(b)	Financial Institutions/ Banks	-	-		0.00%	0.00%		
(c)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%		
(d)	Venture Capital Funds	-	-	-	0.00%	0.00%		
(e)	Insurance Companies	-	-	-	0.00%	0.00%		
(f)	Foreign Institutional Investors	-	-	_	0.00%	0.00%		
(g)	Foreign Venture Capital Investors				0.00%	0.00%		
(h)	NRI	-	-	-	0.00%	0.00%		
	Sub-Total (B)(1)	-			0.00%	0.00%		
0		-	-	-	0.00%	0.00%		
-2	Non-institutions	74	070.00/	070.00/				NL A
(a)	Bodies Corporate	71	272,806	272,806	5.93%	5.93%	N.A.	N.A.
(b)	Individuals -				0.00%	0.00%		
i.	Individual shareholders holding nominal share	695	1,613,383	1,609,383	35.07%	35.07%		
	capital up to Rs. 1 lakh.							
ii.	Individual shareholders holding nominal share	105	2,434,781	2,344,781	52.93%	52.93%		
	capital in excess of Rs. 1 lakh.							
(c)	Any Other (HUF)	-	-	-	0.00%	0.00%		
	Sub-Total (B)(2)	871	4,320,970	4,226,970	93.93%	93.93%		
	Total Public Shareholding (B)=	871	4,320,970	4,226,970	93.93%	93.93%		
	(B)(1)+(B)(2)						N.A.	N.A.
	TOTAL $(A)+(B)$	878	4,600,000	4,506,000	100.00%	100.00%		
(C)	Shares held by Custodians and against	-	-	-	0.00%	0.00%		
·-/	which Depository Receipts have been				2.0070	2.0070		
							N.A.	N.A.
(1)	issued Promoter and Promoter Group				0.00%	0.00%	1 4.7 \.	1 4.7 \.
	Promoter and Promoter Group Public	-	-	-	0.00%	0.00%	- N.A.	- N.A.
(2)		-	-	-			۱۹.∕٦.	14.7.
	Sub-Total (C )	-	-	-	0.00%	0.00%		
	GRAND TOTAL $(A)+(B)+(C)$	878	4,600,000	4,506,000	100.00%	100.00%		

Name o	f the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED									
Scrip Coo	de : 530557				Quarter ended:			30t	n June 2012		
(l)(b)		<u>Statement showi</u>	ng Shareholdin	g of persor	ns belonging to	the category "I	Promoter and	Promoter Gro	up"		
Sr. No.	Name of the shareholder	Details of St	nares held		Encumbered S	hares	Details o	f Warrants		Convertible rities	Total shares (including underlying shares
		Number of shares	As a % of Grand Total (A)+(B)+( C)	Number	As a Percentage	As a % of Grand Total (A)+(B) +(C) of Sub- Clause (I)(a)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Convertible Securities of the same Class	assuming full conversion of warrants and convertible securities) as a % of diluted share capital
(I)	(II)	(111)	(IV)	(V)	(VI)=(V)/ (III)*100	(VII)	(VIII)	(XI)	(X)	(XI)	(XII)
1	Samir Baid	300	0.01%	-	-	-	-	-	-	-	300
2	Inder Chand Baid	4,500	0.10%	-	-	-	-	-	-	-	4,500
3	Saroj Baid	7,800	0.17%	-	-	-	-	-	-	-	7,800
4	Manish Baid	35,000	0.76%	-	-	-	-	-	-	-	35,000
5	Vijay Poddar	200,000	4.35%	-	-	-	-	-	-	-	200,000
6	GCM Securities Private Limited	390	0.01%	-	-	-	-	-	-	-	390
7	Global Capital Mkt & Infrastructures Ltd.	31,040	0.67%	-	-	-	-	-	-	-	31,040
	TOTAL	279,030	6.07%	-	-	-	-	-	-	-	279,030

(l)(c)(i)	Statement showing holding of securities (	including shares, v	varrants, conver	rtible securit	ies) of persons	belonging to the	e category "Pu	blic" and holdi	ng more than 1	% of the total	number of shares
Sr. No.	Name of the shareholder	Total Share	es Held		Encumbered S	bhares	Details o	f Warrants	Details of (	Convertible	Total shares (including
		Number of shares	As a % of Grand Total (A)+(B)+ (C)	Number	As a Percentage	As a % of Grand Total (A)+(B) +(C) of Sub- Clause (I)(a)	Number of Warrants held	As a % of Total No. of Warrants of the same Class			•
(I)	(11)	(   )	(IV)	(V)	(VI)=(V)/	(VII)	(VIII)	(XI)	(X)	(XI)	diluted share capital (XII)
(.)				(.)	(   )*100	( ),	(,,,,,	(**)		(*)	
1	Fair Deal Equisearch & Realtors Pvt. Ltd.	100,000	2.17%	-	-	-	-	-	-	-	100,000
2	Indianivesh Securities Private Limited	53,800	1.17%	-	-	-	-	-	-	-	53,800
3	Sathyamoorthi Devarajulu	50,000	1.09%	-	-	-	-	-	-	-	50,000
4	Deepa Lahoti	50,000	1.09%	-	-	-	-	-	-	-	50,000
5	Roychand Chenraj	76,000	1.65%	-	-	-	-	-	-	-	76,000
	TOTAL	329,800	7.17%	-	-	-	-	-	-	-	329,800

(l)(c)(ii)	Statement showing holding of securities (inc	luding shares, wa	rrants, convertib	le securities) of persons ( number of shares of	-	C) belonging to the categor	/ "Public" and holding more t	han 5% of the total
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a % of total number of shares {i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (l)(a) above}	Details of Wa Number of Warrants	rrants As a % total number of warrants of the same class	Details of Conv Number of Convertible Securities held	ertible Securities % w.r.t total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
	N.A.	-	-	-	-	-	-	-

Name of the Company:	NCL RESEARC	H & FINANCIAL SE	RVICES LIMITED
Scrip Code : 530557		Quarter ended:	30th June 2012

(l)(d)	Statement showing details		
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Ashok Kumar Goel	25,000	0.54%
2	Yogesh Kumar Goel	25,000	0.54%
3	Yogendra Kumar Goel	25,000	0.54%
4	Vibhore Kumar Goel	25,000	0.54%
5	Smt. Muktaben V Mithani	30,000	0.65%
6	Kuldip Bhargava	30,000	0.65%
7	Tejasvi Bhargava	30,000	0.65%
8	Tapasvi Bhargava	30,000	0.659
9	Sourabha Banerjee	30,000	0.659
10	Arun Singhania, Jt. Ashok Singhania, Jt. Naresh Singhania	30,000	0.659
11	Uma Jai Prakash Agarwal	30,000	0.659
12	Praveen K. Burud, Jt. Kamlesh K. Burud, Jt. Basantibai Burud	30,000	0.659
13	Amit Bahrtia	30,000	0.659
14	Bipin Kumar Agarwal	30,000	0.659
15	Vivek Kumar Singhal	30,000	0.659
16	Deepak Kumar Singhal	30,000	0.659
17	Sanjay Agrawal HUF	30,000	0.659
18	Sachin Goyal HUF	30,000	0.659
19	Manjari Agarwal	30,000	0.659
20	Onkarnath Agarwal	30,000	0.659
20	C Lalith Kumar	20,000	0.439
21	Pankaj Mehta	20,000	0.439
22	Nitesh Singh	20,000	0.439
23	Nitesh Singh HUF	22,000	0.489
	Ranjeet Kanunga	22,000	0.439
25	Satish Kumar Gupta		0.439
26	Jinesh Navinchandra Kubadia	20,000	0.439
27		20,000	
28	Brij Bhushan Agarwal	23,000	0.509
29	Mittu Agarwal	22,000	0.489
30	Sumitra Devi Agarwal	21,000	0.469
31	Sheetij Agarwal	24,000	0.529
32	Subham Agarwal	20,000	0.439
33	BBA & Sons, HUF	24,000	0.529
34	Bajrang Lal Agarwal	23,000	0.509
35	Bina Devi Agarwal	22,000	0.489
36	Sanjay Agarwal	23,000	0.509
37	Pooja Agarwal	21,000	0.469
38	Bajrang Lal Agarwal & Sons HUF	24,000	0.529
39	Rajan Ashok Agarwal	22,000	0.489
40	Ajay Shivnath Agarwal	22,000	0.489
41	Sanjay Shivnath Agarwal	22,000	0.489
42	Dhanesh Kawar, Jt. Badan Kawar	20,000	0.439
43	Ritesh Kawar, Jt. Anju Kawar	20,000	0.439
44	Shweta Vikas Kawar	20,000	0.439
45	Ankit Kawar, Jt. Kusum Noratmal Kawar	20,000	0.43%
46	Sajjanraj Kawar, Jt. Nikunj Kawar	23,000	0.509

47	Sunil Kumar Kawar	20,000	0.43%
48	Anil Kawar, Jt. Chetna Kawar	20,000	0.43%
49	Kantaben B Kubadia	20,000	0.43%
TO	TAL	1,200,000	26.09%

Name of the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED			
Scrip Code: 530557	Quarter ended:	30th June 2012		

(||)(a)

## Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
	TOTAL			

## (II)(b) <u>Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total</u> number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1 2	TOTAL			